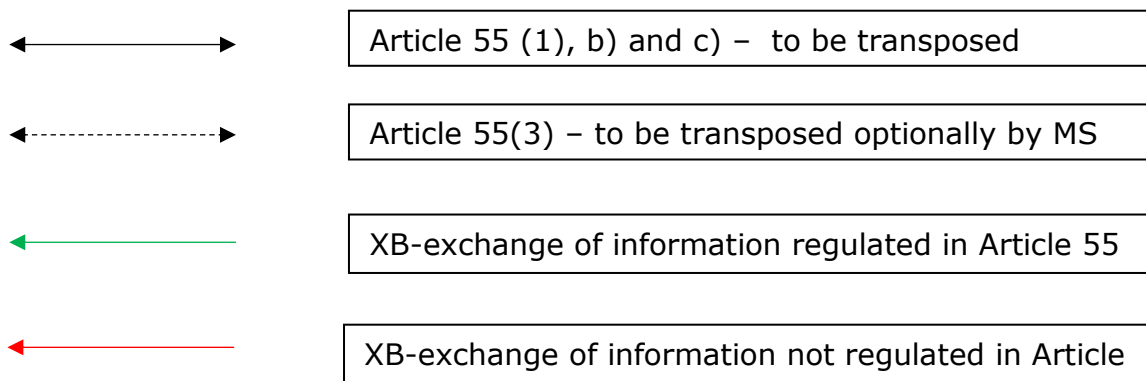


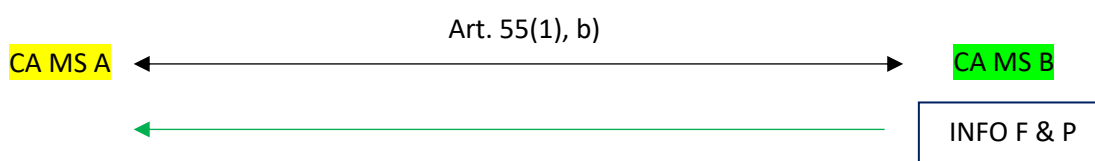
## Appendix 10 : Information exchange relating to the fit and proper assessment



### A. Application of Article 55(1), b)

The Competent Authority of Member State B holds the information relating to the fit and proper assessment

The Competent Authority of Member State A can request the information from the Competent Authority of member State B.

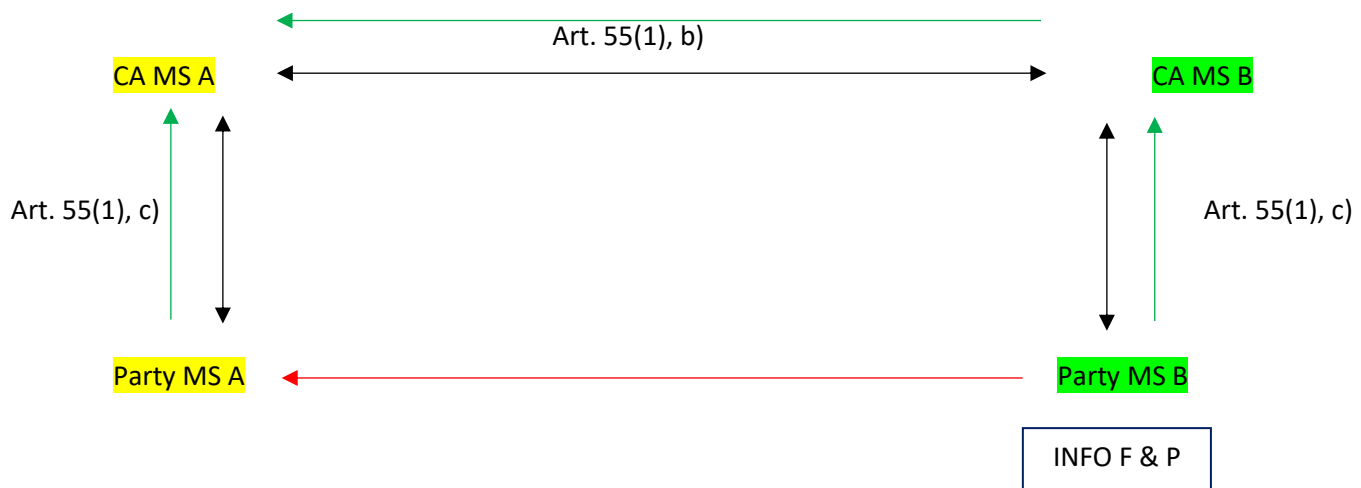


## **B. Application of Article 55(1), b) and Article 55(1), c)**

One of the parties of Article 55(1), c) situated in Member State B holds the information relating to the fit and proper assessment.

The Competent Authority of Member State A must examine whether it can obtain the information:

- either from the Competent Authority of Member State B that can in turn obtain the information from one of the parties situated in Member State B
- either from one of the parties situated in Member State A that can in turn obtain the information from one of the parties in Member State B



**C. Application of Article 55(3) whether or not in combination with Article 55(1), b)**

One of the parties of article 55(3) situated in Member State B holds the information relating to the fit and proper assessment.

The Competent Authority of Member State A must examine whether it can obtain the information:

- either from one of the parties situated in Member State B
- either from the Competent Authority of Member State B that can in turn obtain the information from one of the parties situated in Member State B
- either from one of the parties situated in Member State A that can in turn obtain the information from one of the parties in Member State B

