

Appendix 2

Information regarding the IORP and/or pension scheme to be notified to the host state

(The list specifies the minimum level of information that the home Member State Competent Authority has to provide to the host Member State Competent Authority under Article 20 (4) of the Directive)

1. Current Information about the IORP

1.1. IORP details

Name (in full)
Address (town; country; postcode)
Contact details (phone number; fax; e-mail, web-site)
Identification code number (if any)

Explanatory note: Please note that if the IORP has no legal personality, the contact details should be given for the authorised entities responsible for managing them and acting on their behalf.

1.2. Legal form of the IORP

(If Appendix 3 specifies a number of possible alternatives for establishing an IORP in a Member State, please specify the applicable alternative as reported in Appendix 3.)

1.3. Current number of Members

Please specify the current number of members and of beneficiaries, cfr. Art. 6 (e) and 6 (f) of the Directive, currently covered by the IORP in the home member state and on a pre-existing cross border basis (in total). Please use the latest data available and specify the date to which the data applies.

1.4. Geographical information

List the Member States, including the home Member State, in which the IORP is currently operating.

1.5. Asset Manager

Is there any external, contract-based asset manager?
(please answer yes or no only)

1.6. Asset Custodian

Is there any external, contract-based asset custodian?
(please answer yes or no only)

2. Information regarding the pension scheme to be operated

2.1. Sponsoring undertaking

Name (in full)
Address (town, country; postcode)
Contact details (phone number; fax; e-mail; web-site)

2.2. Host Member States involved

Please list all Member States involved in this notification.

2.3. Representative of the IORP in the host Member State (if any)

Name (in full)
Legal form
Address (town; country; postcode)
Contact details (phone number; fax; e-mail; web-site)
Identification code number (if any)

2.4. Description of the pension scheme

2.4.1. Membership

Describe the eligibility criteria for membership of the pension scheme, including whether membership is compulsory or voluntary.

Describe the approximate number of members and, if applicable, beneficiaries, expected to participate in the scheme at the start of the operation in the host member state(s).

2.4.2. What type of scheme is offered to the sponsoring undertaking?

Describe the scheme

Defined contribution only (are there investment options?)

Defined benefit (final salary/salary-related)

Hybrid (separate defined contribution and defined benefit sections)

Other (Please describe)

2.4.3. Benefits offered and conditions for payment of benefits

Describe the types of benefit offered (e.g. retirement pension, widow's pension, orphan's pension, disability pension, etc.)

Describe the conditions for payment of benefits (e.g. age, contribution, etc.).

Describe the type of pay-out (annuities, payments for temporary periods, lump sum, etc.)

Describe any guarantees offered (e.g. investment performance, a given level of benefits, etc.) and who provides the guarantees.

Describe the additional coverage offered (e.g. long term care, additional biometrical risks, etc.) and who provides the additional coverage.

2.4.4. Who is responsible for the payment of the benefits?

The IORP itself?

Another company (e.g. insurance company; indicate the company name in full, if available, address, contact details) ?

2.4.5. Contributions

Describe the types of contributions paid by the sponsoring undertaking and by the members, e.g. percentage of salary, flat rate, single premium, certain percentage paid by the employee, etc.