

Joint Committee Guidelines

SUPERVISION

Joint Guidelines on complaints-handling for the securities (ESMA) and banking (EBA) sectors

According to Art 73 of the Regulation of EBA, EIOPA and ESMA (the ESAs) referring to language arrangements, the ESAs issue their Guidelines in all EU official languages (with the exception of Irish, according to Council Regulation (EU) No 1257/2010).

GUIDELINES| 27 MAY 2014|

SUPERVISION

Joint Guidelines on Risk Factors

According to Art 73 of the Regulation of EBA, EIOPA and ESMA (the ESAs) referring to language arrangements, the ESAs issue their Guidelines in all EU official languages (with the exception of Irish, according to Council Regulation (EU) No 1257/2010).

GUIDELINES| 26 JUL 2017|

SUPERVISION

Joint Guidelines on the prudential assessment of acquisitions and increases of qualifying holdings in the banking, insurance and securities sectors

According to Art 73 of the Regulation of EBA, EIOPA and ESMA (the ESAs) referring to language arrangements, the ESAs issue their Guidelines in all EU official languages (with the exception of Irish, according to Council Regulation (EU) No 1257/2010).

GUIDELINES| 05 MAY 2017|

FINANCIAL STABILITY

Joint guidelines on cooperation and information exchange, establishing colleges of anti-money laundering and countering the financing of terrorism (AML/CFT) supervisors

GUIDELINES| 16 DEC 2019|

SUPERVISORY FRAMEWORK

Joint Guidelines on the convergence of supervisory practices relating to the consistency of supervisory coordination arrangements for financial conglomerates

GUIDELINES| 22 DEC 2014|

LAST UPDATED ON:
3 May 2021

