

# Consultations

## Joint Committee

To fulfil its commitment to openness and transparency, the Joint Committee conducts written consultations in order to receive comments from all the interested parties, including market participants, consumers and other end-users.

Check below the open and closed consultations.

[REPORTING](#) | [SUSTAINABILITY](#) | [DISCLOSURE](#)

### Joint consultation on taxonomy-related sustainability disclosures

The joint consultation launched by the three European Supervisory Authorities (EBA, EIOPA and ESMA – ESAs) is seeking input on draft Regulatory Technical Standards (RTS) regarding disclosures of financial products investing in economic activities that contribute to an environmental investment...

CONSULTATION | 12 MAY 2021 | CLOSED |

[SOLVENCY II](#)

### Draft implementing technical standards amending Implementing Regulation (EU) 2016/1800 on the allocation of credit assessments of external credit assessment institutions to an objective scale of credit quality steps

Public consultation to amend the Implementing Regulations on the mapping of credit assessments of External Credit Assessment Institutions (ECAIs) for credit risk to reflect the outcomes of a monitoring exercise on the adequacy of existing mappings. The Implementing Regulations are part of the EU...

CONSULTATION | 05 MAR 2021 | CLOSED |

[SUSTAINABILITY](#) | [DISCLOSURE](#)

### Survey on product disclosure templates under the Sustainable Finance Disclosure Regulation

The European Supervisory Authorities (ESAs) welcome comments on this survey setting out the details of the presentation of the information to be disclosed pursuant to Article 8(3), Article 9(5) and Article 11(4) of the Regulation (EU) 2019/2088 of the European Parliament and of the Council of 27...

SURVEY | 16 OCT 2020 | CLOSED |

[SUSTAINABILITY](#) | [DISCLOSURE OF ADVERSE IMPACTS](#)

## Joint Consultation concerning environmental, social and governance (ESG) disclosures

The European Supervisory Authorities (ESAs) invite comments on all matters in this consultation paper on ESG disclosures under Regulation (EU) 2019/2088 on sustainability-related disclosures in the financial sector (hereinafter “SFDR”) and in particular on the specific questions summarised in...

CONSULTATION | 01 SEP 2020 | CLOSED |

[PRIIPS](#)

## Consultation concerning amendments to the PRIIPs KID

The European Supervisory Authorities (ESAs) welcome comments on this consultation paper setting out proposed amendments to Commission Delegated Regulation (EU) 2017/653 of 8 March 2017 (hereinafter “PRIIPs Delegated Regulation”). The consultation package includes: The consultation paper Template...

CONSULTATION | 13 JAN 2020 | CLOSED |

[FINANCIAL STABILITY | REPORTING](#)

## Consultation on technical standards on the reporting of intra-group transactions and risk concentration for Financial Conglomerates

The three European Supervisory Authorities, the European Banking Authority (EBA), the European Insurance and Occupational Pensions Authority (EIOPA) and the European Securities Markets Supervisory Authority (ESMA) launched a consultation on draft Implementing Technical Standards (ITSs) on the...

CONSULTATION | 15 AUG 2019 | CLOSED |

[SOLVENCY II](#)

## Consultation on implementing technical standards amending Implementing Regulation (EU) 2016/1800

In October 2018 the Joint Committee of the three European Supervisory Authorities (EBA, EIOPA and ESMA - ESAs) launched a public consultation to amend the Implementing Regulations on the mapping of credit assessments of External Credit Assessment Institutions (ECAIs) for credit risk. The amendments...

CONSULTATION | 10 JUL 2019 | CLOSED |

[SOLVENCY II](#)

## Consultation on implementing technical standards amending Implementing Regulation (EU) 2016/1800

In October 2018 the Joint Committee of the three European Supervisory Authorities (EBA, EIOPA and ESMA - ESAs) launched a public consultation to amend the Implementing Regulations on the mapping of credit assessments of External Credit Assessment Institutions (ECAIs) for credit risk. The amendments...

CONSULTATION | 10 JUL 2019 | CLOSED |

[CONSUMER PROTECTION | FINANCIAL STABILITY | RISK ASSESSMENT](#)

## Joint CP related to the draft ITS on risk-mitigation techniques for OTC-derivatives

CONSULTATION | 13 JUL 2014 | CLOSED |

[RISK ASSESSMENT](#)

## Joint draft guidelines on the convergence of supervisory practices for financial conglomerates

CONSULTATION | 11 JUN 2014 | CLOSED |

[CONSUMER PROTECTION](#) | [REGULATION](#)

## Joint revised draft ITS on the mapping of ICAIs' credit assessments

The ESAs invite comments on all proposals put forward in this paper. Comments are most helpful if they: indicate the specific point to which a comment relates; contain a clear rationale; provide evidence to support the views expressed/rationale proposed; and describe any alternative regulatory...

CONSULTATION | 31 DEC 2018 | CLOSED |

[REGULATION](#) | [RISK ASSESSMENT](#)

## Joint draft ITS on the mapping of ECAIs' credit assessments

CONSULTATION | 04 JUN 2014 | CLOSED |

[CONSUMER PROTECTION](#) | [PRIIPS](#) | [REGULATION](#)

## Joint amendments to the PRIIPs KID

The European Supervisory Authorities (ESAs) welcome comments on this consultation paper setting out proposed amendments to Commission Delegated Regulation (EU) 2017/653 of 8 March 2017 (hereinafter "PRIIPs Delegated Regulation"). The consultation package includes: the consultation paper template...

CONSULTATION | 06 DEC 2018 | CLOSED |

[REGULATION](#) | [SUPERVISION](#)

## Joint mechanistic references to credit ratings in the ESAs' guidelines and recommendations

CONSULTATION | 04 DEC 2013 | CLOSED |

[CONSUMER PROTECTION](#) | [FINANCIAL STABILITY](#) | [RISK ASSESSMENT](#) | [SOLVENCY II](#)

## Automation in financial advice

DISCUSSION PAPER | 04 MAR 2016 | CLOSED |

[CONSUMER PROTECTION](#) | [REGULATION](#) | [RISK ASSESSMENT](#) | [SOLVENCY II](#)

## Joint draft RTS on the uniform conditions of application of the calculation methods

CONSULTATION | 04 OCT 2012 | CLOSED |

CONSUMER PROTECTION | PRIIPS | REGULATION | SOLVENCY II

## Joint PRIIPs key information documents

CONSULTATION | 29 JAN 2016 | CLOSED |

CONSUMER PROTECTION | REGULATION | SOLVENCY II | SUPERVISION

## Joint proposed response to the EC's call for advice on the fundamental review of the FCD

CONSULTATION | 12 AUG 2012 | CLOSED |

CONSUMER PROTECTION | FINANCIAL STABILITY | RISK ASSESSMENT

## Joint Consultation on anti-money laundering and countering the financing of terrorism

CONSULTATION | 22 JAN 2016 | CLOSED |

CONSUMER PROTECTION | RISK ASSESSMENT

## Joint draft RTS on risk mitigation techniques for OTC derivatives not cleared by a CCP

CONSULTATION | 01 APR 2012 | CLOSED |

CONSUMER PROTECTION | FINANCIAL STABILITY | RISK ASSESSMENT

## Joint guidelines of acquisitions and increases of qualifying holdings in the financial sector

CONSULTATION | 02 OCT 2015 | CLOSED |

CONSUMER PROTECTION | PRIIPS | RISK ASSESSMENT

## Risk, performance scenarios and cost disclosures in KIDs for PRIIPs

DISCUSSION PAPER | 17 AUG 2015 | CLOSED |

CONSUMER PROTECTION | FINANCIAL STABILITY | RISK ASSESSMENT

## Joint draft RTS on risk-mitigation techniques for OTC-derivative contracts not cleared by a CCP

CONSULTATION | 10 JUL 2015 | CLOSED |

REGULATION | FINANCIAL STABILITY

## Joint draft regulatory technical standards on financial conglomerates' risk concentration and intra-group transactions

CONSULTATION | TECHNICAL STANDARD | 24 OCT 2014 | CLOSED |

CONSUMER PROTECTION | RISK ASSESSMENT

## Joint draft ITS on the allocation of credit assessments of ECAs to an

## objective scale of credit quality steps

BASIC PAGE | CONSULTATION | 10 APR 2015 | CLOSED |

CONSUMER PROTECTION | REGULATION

## Cross-selling practices

CONSULTATION | 23 MAR 2015 | CLOSED |

CONSUMER PROTECTION | REGULATION

## Use of credit ratings by financial intermediaries

DISCUSSION PAPER | 27 FEB 2015 | CLOSED |

CONSUMER PROTECTION | PRIIPS

## Key Information Documents

DISCUSSION PAPER | 17 FEB 2015 | CLOSED |

REGULATION | RISK ASSESSMENT

## Addendum Joint draft ITS on the mapping of ECAs' credit assessments

CONSULTATION | 30 NOV 2014 | CLOSED |

REGULATION | FINANCIAL STABILITY

## Joint draft regulatory technical standards on financial conglomerates' risk concentration and intra-group transactions

CONSULTATION | TECHNICAL STANDARD | 24 OCT 2014 | CLOSED |

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