



# Legal framework and regulation

## EIOPA Regulation

- EIOPA Regulation in all languages, formats and links to the Official Journal
- 2019 Review of EIOPA Regulation in all languages, formats and links to the Official Journal

## General Procedures

- External Procedure: Public access to documents

## Internal Procedures

- Rules of procedures of the European Insurance and Occupational Pensions Authority
- Board of Supervisors Rules of Procedures
- Management Board Rules of Procedures
- Rules of procedure of the inquiry panel
- Rules of procedure on investigations regarding breach of Union law
- Rules of procedure of the mediation panel

## Internal Rules

- EIOPA Internal Language
- EIOPA Professional Secrecy
- Anti Fraud Measures
- Code of Good Administrative Behaviour
- Data Protection Implementing Rules (2019)

## Governing regulation

### Solvency II

- Regulation 2009/138/EC, Directive 2009/138/EC of the European Parliament and of the Council of 25 November 2009 on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)

### Financial conglomerates

- Regulation 2002/87/EC, Directive 2002/87/EC of the European Parliament and of the Council of 16 December 2002 on the supplementary supervision of credit institutions, insurance undertakings and investment firms in a financial conglomerate and amending Council Directives

73/239/EEC, 79/267/EEC, 92/49/EEC, 92/96/EEC, 93/6/EEC and 93/22/EEC, and Directives 98/78/EC and 2000/12/EC of the European Parliament and of the Council

## IDD

### Regulation (EU) 2016/97

- Directive (EU) 2016/97 of the European Parliament and of the Council of 20 January 2016 on insurance distribution (recast)Text with EEA relevance

### Regulatory technical standards

- Draft Regulatory Technical Standards adapting the base euro amounts for professional indemnity insurance and for financial capacity of intermediaries under the Insurance Distribution Directive

### Implementing technical standards

- Draft Implementing Technical Standards concerning a standardised presentation format for the Insurance Product Information Document of the Insurance Distribution Directive

### Guidelines

- Guidelines under the Insurance Distribution Directive on Insurance-based investment products that incorporate a structure which makes it difficult for the customer to understand the risks involved
- Preparatory Guidelines on product oversight and governance arrangements by insurance undertakings and insurance distributors

### Delegated Regulations

- Commission Delegated Regulation (EU) 2017/2358 on product oversight and governance (POG) requirements for insurance undertakings and insurance distributors
- Commission Delegated Regulation (EU) 2017/2359 on information requirements and conduct of business rules applicable to the distribution of insurance-based investment products conduct requirements (IBIPs)
- Commission Delegated Regulation of 13.5.2019 amending Directive (EU) 2016/97 of the European Parliament and of the Council with regard to regulatory technical standards adapting the base euro amounts for professional indemnity insurance and for financial capacity of insurance and reinsurance intermediaries

### Implementing Regulations

- Commission Implementing Regulation (EU) 2017/1469 of 11 August 2017 laying down a standardised presentation format for the IPID

### Questions and answers

- [Archive of QAs](#)

## IORP II

- [Regulation \(EU\) 2016/2341](#) [Directive \(EU\) 2016/2341](#) of the European Parliament and of the Council of 14 December 2016 on the activities and supervision of institutions for occupational retirement provision (IORPs)

## Anti money laundering

- [Regulation 2015/84](#) [Directive \(EU\) 2015/849](#) of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70/EC

## Distance marketing of consumer financial services

- [Regulation 2002/65/EC](#) [Directive 2002/65/EC](#) of the European Parliament and of the Council of 23 September 2002 concerning the distance marketing of consumer financial services and amending Council Directive 90/619/EEC and Directives 97/7/EC and 98/27/EC

## PEPP

- [Regulation 2019/1238](#) [Regulation \(EU\) 2019/1238](#) of the European Parliament and of the Council of 20 June 2019 on a pan-European Personal Pension Product (PEPP) (Text with EEA relevance)

## PRIIPs

### Regulation 1286/2014

- [Regulation \(EU\) No 1286/2014](#) of the European Parliament and of the Council of 26 November 2014 on key information documents for packaged retail and insurance-based investment products (PRIIPs) (Text with EEA relevance)

### Regulatory technical standards

- [Commission Delegated Regulation \(EU\) 2017/653](#) of 8 March 2017 supplementing Regulation (EU) No 1286/2014 of the European Parliament and of the Council on key information documents for packaged retail and insurance-based investment products (PRIIPs) by laying down regulatory technical standards with regard to the presentation, content, review and revision of key information documents and the conditions for fulfilling the requirement to provide such documents
- [Commission Delegated Regulation \(EU\) 2016/1904](#) of 14 July 2016 supplementing Regulation (EU) No 1286/2014 of the European Parliament and of the Council with regard to product intervention (Text with EEA relevance)

## Guidelines

- Guidelines on the application of Regulation (EU) No 1286/2014 of the European Parliament and of the Council on key information documents for PRIIPs

## Supervisory Statements

- Joint ESA supervisory statement concerning the performance scenarios in the PRIIPs KID
- Joint ESA Supervisory Statement – application of scope of the PRIIPs Regulation to bonds

## Questions and answers

- Archive of QAs
-

