

RECORD OF PERSONAL DATA PROCESSING ACTIVITY ACCORDING TO ARTICLE 31 OF REGULATION (EU) 2018/1725

Personal data processing related to the PRIIPs KID tool

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General information

Introduction
EIOPA, as a European Authority, is committed to protect individuals with regard to the processing of their personal data in accordance with Regulation (EU) No 2018/1725 (further referred as the Regulation) ¹ .
Contact Details of Data Controller(s)
Fausto Parente, Executive Director Westhafenplatz 1, 60327 Frankfurt am Main, Germany fausto.parente@eiopa.europa.eu
Contact Details of the Data Protection Officer
Eleni Karatza Westhafenplatz 1, 60327 Frankfurt am Main, Germany dpo@eiopa.europa.eu
Contact Details of Processor
EIOPA's Team/Unit/Department responsible for the processing: Consumer Protection Department

¹ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC.

Description and Purpose of the Processing

Description of Processing
<p>The PRIIPs KID tool collects and analyses data from Packaged Retail and Insurance-based Investment Products (“PRIIPs”) Key Information Documents (“KIDs”) across Member States. PRIIPs KID data are collected from the websites of pre-selected insurance companies, placed in a central data repository, and used to provide analysis and aggregation at various levels. The tool allows for more efficient data collection, without additional burdens on industry or National Competent Authorities (“NCAs”), while improving the quality of product analysis, and enhancing market transparency for supervisors.</p> <p>EY has been engaged for the implementation of the project. The service provider has a Software as a Service agreement with EIOPA, on the basis of which it provides access to a web-based dashboard. The provider will give access to the tool and the dashboard to designated EIOPA staff members and NCA employees, who need to provide the following personal data for that purpose: name, surname and email address.</p>
Purpose (s) of the processing
<div> <input type="checkbox"/> Staff administration <input checked="" type="checkbox"/> Relations with external parties <input type="checkbox"/> Procurement and accounting <input type="checkbox"/> Administration of membership records <input type="checkbox"/> Auditing <input checked="" type="checkbox"/> Information administration <input type="checkbox"/> Other (please give details): </div>
Lawfulness of Processing
<div> <ul style="list-style-type: none"> Legal Basis justifying the processing: <p>Packaged Retail and Insurance-based Investment Products (PRIIPs) Regulation (EU) No 1286/2014</p> Processing is necessary: <div> <input checked="" type="checkbox"/> for the performance of a task carried out in the public interest-Article 5(1)(a) of Regulation (EU) 2018/1725 <input type="checkbox"/> for compliance with a legal obligation to which the Controller is subject <input type="checkbox"/> for the performance of a contract to which the data subject is party or in order to take steps at the request of the data subject prior to entering into a contract <input type="checkbox"/> in order to protect the vital interests of the data subject or of another natural person </div> <p>Or</p> <p>Data subject has given his/her unambiguous, free, specific and informed consentt</p> </div>

Data Subject’s Rights

Information on how to exercise data subject’s rights
<p>Data subjects may exercise their data privacy rights provided in Articles 17 to 24 of the Regulation (EU) 1725/2018.</p> <p>Data subjects have the right to:</p> <ul style="list-style-type: none"> • access their personal data, receive a copy of them in a structured and machine-readable format or have them directly transmitted to another controller, as well as request their rectification or update in case they are not accurate. • request the erasure of their personal data, as well as object to or obtain the restriction of their processing. • withdraw their consent to the processing of their personal data at any time in case such processing is based solely on their consent. <p>For the protection of the data subjects’ privacy and security, every reasonable step shall be taken to ensure that their identity is verified before granting access, or rectification, or deletion.</p> <p>Should data subjects wish to access/rectify/delete their personal data, or receive a copy of them/have it transmitted to another controller, or object to/restrict their processing, please contact PRIIPskidtool@eiopa.europa.eu (Note 7) or DPO@eiopa.europa.eu.</p> <p><u>Complaint:</u></p> <p>Any complaint concerning the processing of the data subjects’ personal data can be addressed to EIOPA’s Data Protection Officer (DPO@eiopa.europa.eu). Alternatively, data subjects can also have at any time recourse to the European Data Protection Supervisor (www.edps.europa.eu).</p>

Categories of Data Subjects & Personal Data

Categories of Data Subjects
<div> <input checked="" type="checkbox"/> EIOPA permanent staff, Temporary or Contract Agents <input checked="" type="checkbox"/> SNEs or trainees <input type="checkbox"/> Visitors to EIOPA (BOS, MB, WG, Seminars, Events, other) <div>If selected, please specify:</div> <input type="checkbox"/> Providers of good or services <input type="checkbox"/> Complainants, correspondents and enquirers <input type="checkbox"/> Relatives and associates of data subjects <input checked="" type="checkbox"/> Other (please specify): designated staff of the national competent authorities </div>

Categories of personal data
<p>(a) General personal data:</p> <p>The personal data contains:</p> <p><input checked="" type="checkbox"/> Personal details (name, email address etc)</p> <p><input type="checkbox"/> Education & Training details</p> <p><input type="checkbox"/> Employment details</p> <p><input type="checkbox"/> Financial details</p> <p><input type="checkbox"/> Family, lifestyle and social circumstances</p> <p><input type="checkbox"/> Other (please give details):</p> <p>(b) Special categories of personal data (Note 11)</p> <p>The personal data reveals:</p> <p><input type="checkbox"/> Racial or ethnic origin</p> <p><input type="checkbox"/> Political opinions</p> <p><input type="checkbox"/> Religious or philosophical beliefs</p> <p><input type="checkbox"/> Trade union membership</p> <p><input type="checkbox"/> Genetic or Biometric data</p> <p><input type="checkbox"/> Data concerning health, sex life or sexual orientation</p>

Categories of Recipients & Data Transfers

Recipient(s) of the data
<p><input type="checkbox"/> Managers of data subjects</p> <p><input checked="" type="checkbox"/> Designated EIOPA staff members</p> <p> If selected, please specify:</p> <p> Designated Staff from the Consumer Protection Department</p> <p> Designated Staff from the IT Unit</p> <p><input type="checkbox"/> Relatives or others associated with data subjects</p> <p><input type="checkbox"/> Current, past or prospective employers</p> <p><input type="checkbox"/> Healthcare practitioners</p> <p><input type="checkbox"/> Education/training establishments</p> <p><input type="checkbox"/> Financial organisations</p> <p><input checked="" type="checkbox"/> External contractor: designated employees of the service provider.</p> <p><input checked="" type="checkbox"/> Other (please specify): designated staff of NCAs</p>

Data transfer(s)
<input type="checkbox"/> Within EIOPA or to other EU Institutions/Agencies/Bodies If selected, please specify:
<input checked="" type="checkbox"/> To other recipients within the EU (e.g. NCAs): NCAs
<input type="checkbox"/> To third countries If selected, please specify:
Whether suitable safeguards have been adopted:
<input type="checkbox"/> Adequacy Decision of the European Commission ²
<input type="checkbox"/> Standard Contractual Clauses (SCC)
<input type="checkbox"/> Binding Corporate Rules (BCR)
<input type="checkbox"/> Administrative Arrangements between public Authorities (AA)
<input type="checkbox"/> To international organisations If selected, please specify the organisation and whether suitable safeguards have been adopted:
Data subjects could obtain a copy of SCC, BCR or AA here: NA

Automated Decision Making

Automated Decision-making, including profiling
<p>A decision is taken in the context of this processing operation solely on the basis of automated means or profiling:</p> <p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes</p> <p>In case of an automated decision-making or profiling, please explain:</p> <p>NA</p>

Retention Period & Security Measures

Retention period
<p>How long will the data be retained?</p> <p>For the individuals with access to the platform (both internal and external to EIOPA), until those individuals are designated as having access to the platform.</p>

² Third countries for which the European Commission has issued adequacy decisions are the following: [Adequacy decisions \(europa.eu\)](#)

For further processing envisaged beyond the original retention period for historical, statistical or scientific purposes, please specify whether the personal data will be anonymised:

☒ No

☐ Yes

Technical & organisational security measures taken

Several technical and organisational measures have been adopted in order to ensure the optimum security of the documents and personal data collected in the context of the procedures described under section 'Description and Purpose of the Processing'.