

Slovenia

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For insurance undertakings

General good provisions regulating insurance distribution in addition to those set out in the Insurance Distribution Directive (Article 11(2))

Information requirements and conduct of business rules

IDD Article	Specific national legislative provision(s)	FoS	FoE
Article 17: General principle			
Article 18: General information provided by the insurance intermediary or insurance undertaking			
Article 19: Conflicts of interest and transparency			

Article 20: Advice, and standards for sales where no advice is given			
Article 21: Information provided by ancillary insurance intermediaries			
Article 22: Information exemptions and flexibility clause			
Article 23: Information conditions			
Article 24: Cross-selling			
Article 25: Product oversight and governance requirements			
Additional requirements in relation to insurance-based investment products			
IDD Article	Specific national legislative provision(s)	FoS	FoE

Article 26: Scope of additional requirements		X	X
Article 27: Prevention of conflicts of interest		X	X
Article 28: Conflicts of interest			
Article 29: Information to customers		X	X
Article 30: Assessment of suitability and appropriateness and reporting to customers	Article 528.d of IA-1 (Execution only sales in paragraph 6 of 528.d Article – Complying with the Guidelines under the Insurance Distribution Directive on Insurance based investment products that incorporate a structure which makes it difficult for the customer to understand the risks involved - compliance table https://www.eiopa.europa.eu/content/guidelines-under-insurance-distribution-directive-insurance-based-investment-products_en?source=search	X	X
Scope, registration and organisational requirements			
IDD Article	Specific national legislative provision(s)	FoS	FoE
Article 1: Scope			
Article 2: Definitions			

Article 3: Registration			
Article 10: Professional and organisational requirements			
Article 14: Complaints			
Other themes			
IDD Article	Specific national legislative provision(s)	FoS	FoE
General good provisions referred to in Article 180 of Solvency II			
Solvency II Article	Specific national legislative provision(s)	FoS	FoE
Article 180	<p>Article 133, Paragraph 3 of IA-1 (Conducting insurance business by insurance undertakings from Member States):</p> <p>For an insurance undertaking from a Member State which conducts insurance business in the territory of the Republic of Slovenia, the following provisions of Acts and regulations issued in relation to their implementation shall apply:</p> <ol style="list-style-type: none"> 1. Articles 521 to 528.d of this Act, 2. Article 560 of this Act, 3. the provisions of the Acts referred to in paragraphs six and seven of Article 7 of this Act, 	x	x

	4. the provisions of other Acts, which for the reason of safeguarding of the public interest govern consumer protection, the prevention of money laundering or other fields, and apply to insurance undertakings with head offices in the Republic of Slovenia.		
Other general good provisions (not related to IDD and Solvency II), such as money-laundering and taxation provisions, which are relevant for insurance distributors doing cross-border business			
Underlying Article from EU legislation, if applicable	Specific national legislative provision(s) and or links to the relevant websites of the Ministries where further information on the rules can be found	FoS	FoE
...	<ul style="list-style-type: none"> • Compulsory Motor Third-Party Liability Insurance Act (CMTLA) - Official Gazette of the Republic of Slovenia No. 93/07 - official consolidated text, 40/12 - ZUJF, 33/16 - PZ-F and 41/17 - PZ-G • Health Care and Health Insurance Act (HCHIA) - Official Gazette of the Republic of Slovenia No. 72/06 - official consolidated text, 114/06 - ZUTPG, 91/07, 76/08, 62/10 - ZUPJS, 87/11, 40/12 - ZUJF, 21/13 - ZUTD-A, 91/13, 99/13 - ZUPJS-C, 99/13 - ZSVarPre-C, 111/13 - ZMEPIZ-1, 95/14 - ZUJF-C, 47/15 - ZZSDT, 61/17 - ZUPŠ, 64/17 - ZZDej-K, 36/19 and 189/20 - ZFRO; • Insurance Premium Tax Act - Official Gazette of the Republic of Slovenia No. 96/05- official consolidated text and 90/14; • Pension and Disability Insurance Act - Official Gazette of the Republic of Slovenia No. 96/12, 39/13, 99/13 - ZSVarPre-C, 101/13 - ZIPRS1415, 44/14 - ORZPIZ206, 85/14 - ZUJF-B, 95/14 - ZUJF-C, 90/15 - ZIUPTD, 102/15, 23/17, 40/17, 65/17, 28/19, 75/19, 139/20 and 189/20 - ZFRO; • Obligations Code - Official Gazette of the Republic of Slovenia No. 97/07 - official consolidated text 64/16 - dec. US, 20/18; • other acts, prescribing general good conditions, for example the Consumer Protection Act and Law on Prevention of Money Laundering and Financing of Terrorism. 	X	X

For insurance intermediaries

General good provisions regulating insurance distribution in addition to those set out in the Insurance Distribution Directive (Article 11(2))

Information requirements and conduct of business rules

IDD Article	Specific national legislative provision(s)	FoS	FoE
Article 17: General principle			
Article 18: General information provided by the insurance intermediary or insurance undertaking			
Article 19: Conflicts of interest and transparency			
Article 20: Advice, and standards for sales where no advice is given			
Article 21: Information provided by ancillary insurance intermediaries			
Article 22: Information exemptions and flexibility clause			

Article 23: Information conditions			
Article 24: Cross-selling			
Article 25: Product oversight and governance requirements			
Additional requirements in relation to insurance-based investment products			
IDD Article	Specific national legislative provision(s)	FoS	FoE
Article 26: Scope of additional requirements			
Article 27: Prevention of conflicts of interest			
Article 28: Conflicts of interest			
Article 29: Information to customers			
Article 30: Assessment of suitability and	Article 528.d of IA-1 (Execution only sales in paragraph 6 of 528.d Article) – Complying with the Guidelines under the Insurance Distribution Directive on Insurance based investment products that incorporate a structure which makes it difficult for the customer to understand the risks involved - compliance table https://www.eiopa.europa.eu/content/guidelines-under-insurance-distribution-directive-insurance-based-investment-products_en?source=search	X	X

appropriateness and reporting to customers			
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Scope, registration and organisational requirements

IDD Article	Specific national legislative provision(s)	FoS	FoE
Article 1: Scope			
Article 2: Definitions			
Article 3: Registration			
Article 10: Professional and organisational requirements			
Article 14: Complaints			

Other themes

IDD Article	Specific national legislative provision(s)	FoS	FoE

General good provisions referred to in Article 180 of Solvency II

Solvency II Article	Specific national legislative provision(s)	FoS	FoE
Other general good provisions (not related to IDD and Solvency II), such as money-laundering and taxation provisions, which are relevant for insurance distributors doing cross-border business			
Underlying Article from EU legislation, if applicable	Specific national legislative provision(s) and or links to the relevant websites of the Ministries where further information on the rules can be found	FoS	FoE
...	...		